

Attorney's Docket No. 008483.P002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Dong-Hyun Kim, et al.

Application No.: 10/511,016

Filed: May 31, 2005

For: (As Amended) Method Of Preparing A
Pharmaceutical Composition
Comprising Fermented Ginseng

Examiner: Amy Lynn Clark

Art Unit: 1655

Confirmation No.: 8746

COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VIRGINIA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

On July 1, 2005, we received the official filing receipt in connection with the above-identified patent application (copy enclosed).

However, the following information needs to be corrected.

Please change:

FROM:

Title of Invention NOVEL USE OF THE EXTRACT OF PROCESSED GINSENG AND
SAPONIN ISOLATED THEREFROM

Docket Number: 008483.P002

TO:

Title of Invention METHOD OF PREPARING A PHARMACEUTICAL COMPOSITION
COMPRISING FERMENTED GINSENG

Docket Number: 008483.P002


Please correct your records to reflect the above information and then forward to us a corrected filing receipt. Enclosed is a copy of the filing receipt marked with the appropriate correction.

If you have any questions please contact the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: October 15, 2009



Lester J. Vincent
Registration No. 31,460

1279 Oakmead Parkway
Sunnyvale, CA 94085-4040
(408)720-8300

CERTIFICATE OF TRANSMISSION

I hereby certify that this document is being submitted via EFS-WEB
on: <today's date>
Assistant: / /

07/01/2005
Hongnam Trading

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/511,016	05/31/2005	1614	620	7260P001	1	12	3

CONFIRMATION NO. 8746

8791
BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES, CA 90025-1030

FILING RECEIPT



OC000000016402512

Date Mailed: 07/01/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dong-Hyun Kim, Seoul, KOREA, REPUBLIC OF; ✓
 Jong-Hoon Ryu, Seoul, KOREA, REPUBLIC OF; ✓
 Eun-Ah Bae, Seoul, KOREA, REPUBLIC OF; ✓
 Myung-Joo Han, Seoul, KOREA, REPUBLIC OF; ✓
 Min-Kyung Choo, Seoul, KOREA, REPUBLIC OF; ✓
 Eun-Kyung Park, Seoul, KOREA, REPUBLIC OF; ✓

RECEIVED

JUL 08 2005

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
LOS ANGELES

Power of Attorney: The patent practitioners associated with Customer Number 08791.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/KR03/00704 04/08/2003 ✓

ENTERED

JUL 08 2005

Foreign Applications

REPUBLIC OF KOREA 10-2002-0018844 04/08/2002 ✓

STATUS DB/LA

Projected Publication Date: 10/06/2005

Non-Publication Request: No ✓

Early Publication Request: No ✓

** SMALL ENTITY **

Title

—Novel use of the extract of processed ginseng and saponin isolated therefrom—

Method Of Preparing A Pharmaceutical Composition Comprising Fermented Ginseng

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way **lessen** the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the

national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).